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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Riccardo Dalla-Favera and Raju S.K. Chaganti
Serial No.: 09/761,117
Filed: January 16, 2001
For: CLONING AND USES OF THE GENETIC LOCUS bcl-6

1185 Avenue of the Americas
New York, New York 10036
April 27, 2001

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Assistant Commissioner for Patents
Washington, D.C. 20231

SIR:

INFORMATION DISCLOSURE STATEMENT

In accordance with their duty of disclosure under 37 C.F.R. §1.56, applicants direct the Examiner's attention to the following publications which are listed below and on the accompanying Form PTO-1449 attached hereto as **Exhibit A**. Copies of the first of these publications is attached hereto as **Exhibit 1**.

1. U.S. Patent No. 6,174,997, (Chaganti and Dalla-Favera) issued January 16, 2001. (**Exhibit 1**)
2. U.S. Patent No. 5,641,672, (Dalla-Favera and Chaganti) issued June 24, 1997.
3. U.S. Patent No. 5,882,858, (Dalla-Favera and Chaganti) issued March 16, 1999.
4. U.S. Patent No. 5,149,628 (Croce) issued September 22, 1992.
5. U.S. Patent No. 5,015,568 (Tsujiimoto and Croce) issued May 14,

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6. Baron, B.W. et al. (1993) "Identification of the Gene Associated With the Recurring Chromosomal Translocations t(3;14) (q27;q32) and t(3;22) (q27;q11) in B-cell Lymphomas," Proc. Natl. Acad. Sci. 90:5262-5266.
7. Bastard, C. et al. (1992) "Translocations Involving Band 3q27 and Ig Gene Regions in Non-Hodgkin's Lymphoma," Blood 79:2527-2531.
8. Cleary, M.L. and J. Sklar (1985) "Nucleotide Sequence of a t(14;18) Chromosomal Breakpoint in Follicular Lymphoma and Demonstration of a Breakpoint-Cluster Region Near a Transcriptionally Active Locus on Chromosome 18," Proc. Natl. Acad. Sci. 82:7439-7443.
9. Kerckaert, J.-P. et al. (1993) "LAZ3, A Novel Zinc-Finger Encoding Gene, is Disrupted by Recurring Chromosome 3q27 Translocations in Human Lymphomas," Nature Genetics 5:66-70.
10. Offit, K. et al. (1989) "A Novel Translocation Associated With Diffuse Non-Hodgkin's Lymphoma," Blood 74:1876-1879.
11. Otsuki, T. et al. (1995) "Analysis of LAZ3 (BCL-6) Status in B-Cell Non-Hodgkin's Lymphomas: Results of Rearrangement and Gene Expression Studies and a Mutational Analysis of Coding Region Sequences," Blood 85(10):2877-2884.
12. Sambrook, J. et al. (1989) Molecular Cloning: A Laboratory Manual Second Edition, Vols. 1, 2 and 3, Cold Spring Harbor Laboratory Press: Cold Spring Harbor, New York.

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13. Rosati, M. et al. (1991) "Members of the Zinc Finger Protein Gene Family Sharing a Conserved N-Terminal Module," Nuc. Acids. Res. 19:5661-5667.
14. Van Cong, N. et al. (1989) "The Human Homologues of Fim1, Fim2/c-Fms, and Fim3, Three Retroviral Integration Regions Involved in Mouse Myeloblastic Leukemias, are Respectively Located on Chromosomes 6p23, 5q33, and 3q27," Human Genet. 81:257-263.
15. Ye, B.H. et al. (1993) "Cloning of bcl-6, the locus involved in Chromosome Translocations affecting band 3q27 in B-cell Lymphoma," Cancer Research 53:2732-2735.
16. Ye, B.H. et al. (1993) "Alterations of a Zinc-Finger-Encoding Gene, BCL-6, in Diffuse Large-Cell Lymphoma," Science 262:747-750.

The subject application is a divisional of U.S. Serial No. 09/268,202, filed March 15, 1999, now U.S. Patent No. 6,174,997, issued January 16, 2001, a divisional of U.S. Serial No. 08/553,541, filed May 28, 1996, now U.S. Patent No. 5,882,858, issued March 16, 1999, national stage of PCT International Application No. PCT/US94/06669, filed June 9, 1994, a continuation-in-part of U.S. Serial No. 08/074,967, filed June 9, 1993, now U.S. Patent No. 5,641,672, issued June 24, 1997.

References 2-16 were either submitted to and considered by the U.S. Patent and Trademark Office (PTO) in an Information Disclosure Statement, or cited by the Examiner, in connection with Serial No. 09/268,202. Accordingly, under 37 C.F.R. 1.98(d), copies of references 2-16 need not be provided to the PTO, since they were


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previously submitted in an application relied upon for an earlier filing date under 35 U.S.C. §120. Courtesy copies will be provided to the Examiner upon request.

If a telephone conference would be of assistance in advancing the prosecution of the subject application, applicants' undersigned attorneys invite the Examiner to telephone them at the number provided below.

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any fee is required, authorization is hereby given to charge the amount of such fee to Deposit Account No. 03-3125.


Respectfully submitted,



John P. White
Registration No. 28,678
Alan J. Morrison
Registration No. 37,399
Attorney for Applicants
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents,
Washington, D.C. 20231.



Alan J. Morrison
Reg. No. 37,399

4/27/01
Date